

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# In re Application of:

Khan et al.

**Serial No.:** 10/028,075

Filed: December 21, 2001

For: GENE REGULATOR

Confirmation No.: 1102

**Examiner:** T. McKelvey

**Group Art Unit:** 1636

Attorney Docket No.: 2183-5223US

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### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO/SB/08 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

# U.S. Patent Documents

U.S. Patent No. Publication Date Patentee
US - 6,727,227 04-27-2004 Khavinson

# Foreign Patent Documents

Document No.

Publication Date

Patentee

WO 01/29067

04-26-2001

Obschestvo S Ogranichennoi

Otvetstvennostiju "Klinika

Instituta Bioregulyatsii I

Gerontologii"

# Other Documents

KACHRA et al., "Low Molecular Weight Components but Not Dimeric HCG Inhibit Growth and Down-Regulate AP-1 Transcription Factor in Kaposi's Sarcoma Cells," Endocrinology, 1997, pp. 4038-4041, vol. 138, no. 9.

KHAVINSON et al., "Mechanisms Underlying Geroprotective Effects of Peptides," Bulletin of Experimental Biology and Medicine, January 2002, pp. 1-5, Vol. 133, No. 1.

KHAVINSON et al., "Peptide Promotes Overcoming of the Division Limit in Human Somatic Cell," Bulletin of Experimental Biology and Medicine, May 2004, pp. 503-506, Vol. 137, No. 5.

MOROZOV et al., "Natural and Synthetic Thymic Peptides as Therapeutics for Immune Dysfunction," Int. J. Immunopharmac., 1997, pp. 501-505, Vol. 19, No. 9/10.

KHAVINSON et al., "Inductive Activity of Retinal Peptides," Bulletin of Experimental Biology and Medicine, November 2002, pp. 482-484, Vol. 134, No. 5.

KHAVINSON et al., "Effects of Short Peptides on Lymphocyte Chromatin in Senile Subjects," Bulletin of Experimental Biology and Medicine, January 2004, pp. 78-81, Vol. 137, No. 1.

KHAVINSON et al., "Effects of Livagen Peptide on Chromatin Activation in Lymphocytes from Old People," Bulletin of Experimental Biology and Medicine, October 2002, pp. 389-392, Vol. 134, No. 4.

KHAVINSON et al., "Epithalon Peptide Induces Telomerase Activity and Telomere Elongation in Human Somatic Cells," Bulletin of Experimental Biology and Medicine, June 2003, pp. 590-592, Vol. 135, No. 6.

IVANOV et al., "Hemoglobin as a Source of Endogenous Bioactive Peptides: The Concept of Tissue-Specific Peptide Pool," Biopolymers, 1997, pp. 171-188, Vol. 39.

KHAVINSON et al, Gerontological Aspects of Genome Peptide Regulation, 2005, S. Karger AG, Basel, Switzerland.

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, Applicants hereby identify the following listed copending applications naming a common inventor(s):

Attorney Docket No.:

3077-6084US

Serial No.:

10/753,510 1/7/2004

Filed: Title:

**IMMUNOREGULATORY COMPOSITIONS** 

Attorney Docket No.: Serial No.:

2183-6400US 10/817,756

Filed: Title: 4/2/2004

**GENE REGULATORY PEPTIDES** 

Attorney Docket No.: Serial No.:

3077-6384US 10/821,240

Filed:

4/8/2004

Title:

METHODS AND USES FOR PROTEIN BREAKDOWN

**PRODUCTS** 

Attorney Docket No.:

3077-6420US 10/821,256

Filed:

Serial No.:

4/8/2004

Title:

COMPOSITIONS CAPABLE OF REDUCING ELEVATED BLOOD

UREA CONCENTRATION

Attorney Docket No.: 2183-4799.1US

Serial No.: Filed:

11/037,972 1/18/2005

Title:

**IMMUNOREGULATOR** 

Attorney Docket No.:

3077-6418US 11/243,438

Serial No.: Filed:

10/4/2005

Title:

COMPOSITIONS FOR MUCOSAL AND ORAL

ADMINISTRATION COMPRISING HCG FRAGMENTS

Attorney Docket No.:

3077-6420.2US 11/249,541

Serial No.: Filed:

10/13/2005

Title:

COMPOSITIONS CAPABLE OF REDUCING ELEVATED BLOOD

**UREA CONCENTRATION** 

Attorney Docket No.:

3077-7568US 11/286,571

Serial No.: Filed:

11/23/2005

Title:

COMPOUNDS OF THERAPEUTIC VALUE IN THE TREATMENT OF MULTIPLE SCLEROSIS AND OTHER DISEASES WHEREIN

FOAM CELLS ARE INVOLVED IN THE DISEASE ETIOLOGY

Attorney Docket No.:

3077-7643US

Serial No.:

11/346,450

Filed:

2/2/2006

Title:

COMPOSITIONS CAPABLE OF REDUCING ELEVATED BLOOD

**UREA CONCENTRATION** 

Attorney Docket No.:

3077-7568.1US

Serial No.:

11/346,761

Filed:

2/3/2006

Title:

COMPOUNDS OF THERAPEUTIC VALUE IN THE TREATMENT OF MULTIPLE SCLEROSIS AND OTHER DISEASES WHEREIN

FOAM CELLS ARE INVOLVED IN THE DISEASE ETIOLOGY

Attorney Docket No.:

2183-7849US 11/446,458

Serial No.: Filed:

6/2/2006

Title:

METHOD TO DIAGNOSE OR SCREEN FOR INFLAMMATORY

DISEASES

This Supplemental Information Disclosure Statement is believed to be filed before the mailing date of the first Office Action on the merits subsequent to the filing of an RCE in the above-identified application.

Respectfully submitted,

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Date: June 30, 2006

ACT/alb

Enclosures: Form PTO/SB/08

Copy of documents cited

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO FADEMA Complete if Known 10/028,075 INFORMATION DISCLOSURE Application Number December 21, 2001 Filing Date STATEMENT BY APPLICANT Khan et al. First Named Inventor Group Art Unit 1636 (use as many sheets as necessary) T. McKelvey Examiner Name 2183-5223US Sheet of Attorney Docket Number

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Examiner Initials *	Cite No. <sup>1</sup>	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevar Passages or Relevant Figures Appear	
		US-6,727,227	04-27-2004	Khavinson		
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	FOREIGN PATENT DOCUMENTS							
Examiner	Cite No.1	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
Initials*		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY					
		WO 01/29067	04-26-2001	Obschestvo S Ogranichennoi Otvetstvennostiju "Klinika Instituta Bioregulyatsii I Gerontologii"				
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Examiner Signature	Date Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO Complete if Known 10/028,075 Application Number INFORMATION DISCLOSURE December 21, 2001 Filing Date STATEMENT BY APPLICANT First Named Inventor Khan et al. 1636 Group Art Unit (use as many sheets as necessary) Examiner Name T. McKelvey 2183-5223US Attorney Docket Number Sheet

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		KACHRA et al., "Low Molecular Weight Components but Not Dimeric HCG Inhibit Growth and Down-Regulate AP-1 Transcription Factor in Kaposi's Sarcoma Cells," Endocrinology, 1997, pp. 4038-4041, vol. 138, no. 9.	
		KHAVINSON et al., "Mechanisms Underlying Geroprotective Effects of Peptides," Bulletin of Experimental Biology and Medicine, January 2002, pp. 1-5, Vol. 133, No. 1.	
		KHAVINSON et al., "Peptide Promotes Overcoming of the Division Limit in Human Somatic Cell," Bulletin of Experimental Biology and Medicine, May 2004, pp. 503-506, Vol. 137, No. 5.	
		MOROZOV et al., "Natural and Synthetic Thymic Peptides as Therapeutics for Immune Dysfunction," Int. J. Immunopharmac., 1997, pp. 501-505, Vol. 19, No. 9/10.	
		KHAVINSON et al., "Inductive Activity of Retinal Peptides," Bulletin of Experimental Biology and Medicine, November 2002, pp. 482-484, Vol. 134, No. 5.	
		KHAVINSON et al., "Effects of Short Peptides on Lymphocyte Chromatin in Senile Subjects," Bulletin of Experimental Biology and Medicine, January 2004, pp. 78-81, Vol. 137, No. 1.	
		KHAVINSON et al., "Effects of Livagen Peptide on Chromatin Activation in Lymphocytes from Old People," Bulletin of Experimental Biology and Medicine, October 2002, pp. 389-392, Vol. 134, No. 4.	
		KHAVINSON et al., "Epithalon Peptide Induces Telomerase Activity and Telomere Elongation in Human Somatic Cells," Bulletin of Experimental Biology and Medicine, June 2003, pp. 590-592, Vol. 135, No. 6.	
		IVANOV et al., "Hemoglobin as a Source of Endogenous Bioactive Peptides: The Concept of Tissue-Specific Peptide Pool," Biopolymers, 1997, pp. 171-188, Vol. 39.	
		KHAVINSON et al, Gerontological Aspects of Genome Peptide Regulation, 2005, S. Karger AG, Basel, Switzerland.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.